

## FINANCE AND INVESTMENT

SCOPE: The purpose of this pathfinder is to introduce some of the resources available in Collier Library on **FINANCE AND INVESTMENT**. The various types of library materials useful in research are described along with some examples of each type. This guide is not a comprehensive listing of sources, but is intended to be a starting point from which students can begin their research according to their specific needs.

BASIC INTRODUCTION OR OVERVIEW MATERIAL can be found in:

**Mastering Finance: The Complete Finance Companion.** 1998.  
*HG 173 .M35*

Tobias, Andrew. **The Only Investment Guide You'll Ever Need.** 1996.  
*HG 4521 .T6*

Fredman, Albert J. **How Mutual Funds Work.** 2<sup>nd</sup> ed. 2000.  
*HG 4530 .F73*

Gerlach, Douglas. **The Complete Idiot's Guide to Online Investing.** 2<sup>nd</sup> ed. 2000.  
*Electronic Book (a link is provided in [UNACAT](#) to the online full text of this work)*

BOOKS ON **FINANCE AND INVESTMENT** can be located by using some of the following SUBJECT HEADINGS which appear in [UNACAT](#), a computerized catalog of library holdings.

|                     |                      |
|---------------------|----------------------|
| BANKS AND BANKING   | INVESTMENTS, FOREIGN |
| BONDS               | MUTUAL FUNDS         |
| FINANCE             | SECURITIES           |
| INVESTMENT ANALYSIS | STOCKS               |
| INVESTMENTS         |                      |

OTHER SUBJECT HEADINGS can be identified by using:

**Library of Congress Subject Headings** (annual)  
(on dictionary stand in Reference Area)

BROWSING the following CALL NUMBERS may produce helpful titles:

Library of Congress (2<sup>nd</sup> Floor)  
HF  
HG

REFERENCE TOOLS which may be useful in regard to **FINANCE AND INVESTMENT** include:

BIBLIOGRAPHIES AND LITERATURE GUIDES contain lists of books, periodical articles, and/or other materials and may provide an evaluative discussion of the methods and materials for doing research in a selected area.

**Bibliography of Finance and Investment.** 1973.

*Ref Z 7164 .F5 B77*

**Business Information: How to Find It, How to Use It.** 2<sup>nd</sup> ed. 1991.

*Ref HF 5356 .L36*

**Business Information Sources.** 3<sup>rd</sup> ed. 1993.

*Ref Z 7164 .C81 D16*

**The Business Library and How to Use It: A Guide to Sources and Research Strategies for Information on Business and Management.** 1996.

*Ref Z 675 .B8 B87*

**Encyclopedia of Business Information Sources.** 9<sup>th</sup> ed. 1992.

*Ref HF 5353 .E52*

**Guide to Statistical Sources in Money, Banking, and Finance.** 1988.

*Ref Z 7164 .F5 B23*

**Industry and Company Information: Illustrated Search Strategy and Sources.** 1991.

*Ref HF 5356 .H38*

McGowan, Spencer. **The Investor's Information Sourcebook: A Quick Reference to Investment Information and Advice.** 1995.

*Ref HG 4519.9 .M35*

ENCYCLOPEDIA AND DICTIONARIES - provide brief definitions or concise overviews of the topic, often followed by lists of further references.

[Blackwell Encyclopedic Dictionary of Finance.](#) 1998.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)

Carew, Edna. **Language of Money 3.** 1996.

*Ref HG 216 .C37*

**A Dictionary of Finance.** 1993.

*Ref HG 151 .D54*

[Dictionary of Finance and Investment Terms.](#) 5<sup>th</sup> ed. 1998.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)  
*The 4<sup>th</sup> edition, published in 1995, is available in the reference collection. (Ref HG 151 .D69)*

[Encyclopedia of Banking & Finance.](#) 10<sup>th</sup> ed. c1994.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)

**Encyclopedia of Business.** 2 vols. 1995.

*Ref HF 1001 .E466*

Friedman, Jack. [Dictionary of Business Terms.](#) 3<sup>rd</sup> ed. 2000.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)

Madlem, Peter. [The International Encyclopedia of Mutual Funds, Closed-End Funds, and Real Estate Investment Trusts](#). 2000.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)

**The New Palgrave Dictionary of Money and Finance**. 3 vols. 1992.

*Ref HG 151 .N48*

Rosenberg, Jerry Martin. **Dictionary of Investing**. 1993.

*Ref HG 4513 .R67*

Scott, David Logan. **Wall Street Words: An Essential A to Z Guide for Today's Investor**. 1997.

*Ref HG 4513 .S37*

Shook, R.J. **Online Trader's Dictionary: The Most Up-to-Date and Authoritative Compendium of Financial Terms**. 2002.

*Ref HG 4515.95 .S48*

**St. James Encyclopedia of Banking & Finance**. 9<sup>th</sup> ed. 1991.

*Ref HG 151 .M8*

**DIRECTORIES** – contain names, addresses, and telephone numbers of persons, organizations, and institutions.

Standard & Poor's. **Register of Corporations**. (quarterly)

*Available as part of the [Standard & Poor's Net Advantage](#) online database.*

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

Standard & Poor's. **Register of Directors and Executives**. (quarterly)

*Available as part of the [Standard & Poor's Net Advantage](#) online database.*

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

**HANDBOOKS AND MANUALS** – cover broad subjects in a brief, concise manner and may include odd bits of information about a variety of topics. (They may also be referred to as companions or compendia).

[Barron's Finance & Investment Handbook](#). 5<sup>th</sup> ed. 1998.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)

*The 1986 edition of this title is available in the reference collection. (Ref HG 173 .D66)*

Fabozzi, Frank J. (ed). [The Handbook of Fixed Income Securities](#). 6<sup>th</sup> ed. c2001.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)

**Handbook of Annotated Financial Forms**. 1981.

*Ref HG 174 .V5*

**The McGraw-Hill Pocket Guide to Business Finance: 201 Decision-Making Tools for Managers**. 1992.

*Ref HG 4027.3 .S54*

**Mergent's Dividend Achievers**. (annual)

*Ref HG 4050 .M66*

BIOGRAPHICAL SOURCES – contain articles about the lives and works of various individuals.

**Biography Resource Center.** (Online Database)

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

Ingham, John N. **Biographical Dictionary of American Business Leaders.** 4 vols. 1983.

*Ref HC 102.5 .A2 I53*

\_\_\_\_\_. **Contemporary American Business Leaders.** 1990.

*Ref HC 102.5 .A2 I534*

OTHER PERTINENT REFERENCES TOOLS:

INVESTMENT SERVICES

Standard & Poor's. **Outlook.**

*Available as part of [Standard & Poor's Net Advantage](#) online database.*

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

**Value Line Research Center.** (online database)

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

INDUSTRY SURVEYS

**Mergent's Industry Review.** (semiannual)

*Ref HG 4961 .M68*

**Standard & Poor's Industry Surveys.**

*Available as part of the [Standard & Poor's Net Advantage](#) online database.*

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

CONCISE STATISTICAL DATA AND STOCK PRICES

**Handbook of Common Stocks.** (quarterly)

*Ref HG 4501 .M59*

**Handbook of NASDAQ Stocks.** (quarterly)

*Ref HG 4501 .M585*

**Mergent Bond Record.**

*Ref HG 4905 .M78*

Standard & Poor's. **Stock Guide.**

*Available as part of the [Standard & Poor's Net Advantage](#) online database.*

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

Standard & Poor's. **Standard & Poor's 500 Guide.** c2001.

*Electronic Book* (a link is provided in [UNACAT](#) to the online full text of this work)

## DIVIDENDS

**Mergent Dividend Record.** (annual with semi-weekly updates)  
*Ref HG 4537 .M66*

**Mergent's Dividend Achievers.** (annual)  
*Ref HG 4050 .H36*

Standard & Poor's. **Dividend Record.** (quarterly)  
*Available as part of the [Standard & Poor's Net Advantage](#) online database.*  
See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

ONLINE DATABASES The databases listed in this category do not serve as only indexes to periodical literature. They contain a variety of industry, company, and other business related information from non-periodical sources. For a list of databases that index periodicals, see the INDEXES AND ABSTRACTS section of this pathfinder. To access any of the libraries' online databases, see the libraries' database page:  
<<http://www2.una.edu/library/databases.htm>>

[Business & Company Resource Center](#) (includes INVESTEXT Select reports).  
[Hoover's Online](#)  
[Standard & Poor's Net Advantage](#)  
[Thomas Register](#)  
[Value Line Research Center](#)

## CORPORATE REPORTS

**Annual Report Gallery** (free website that provides links to company annual reports) <<http://www.reportgallery.com/>>

[INVESTEX](#) (Available through the **General BusinessFile ASAP** online database)  
See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

Standard & Poor's. **Corporation Records.**  
*Available as part of [Standard & Poor's Net Advantage](#) online database.*  
See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

## INVESTMENT COMPANIES -- MUTUAL FUNDS

**Mergent Dividend Record.** (annual with semi-weekly updates)  
*Ref HG 4537 .M66*

**Morningstar Mutual Funds.** (bi-weekly)  
*Collier Library Reserve Desk*

Standard & Poor's. **Mutual Fund Reports.**  
*Available as part of [Standard & Poor's Net Advantage](#) online database.*  
See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

Weisenberger Financial Services. **Investment Companies.** (annual with monthly supplements)  
*Ref HG 4497 .W47*

## BONDS

**Mergent Bond Record.** (monthly)  
Ref HG 4905 .M78

Standard & Poor's. **Bond Guide.**

Available as part of *Standard & Poor's Net Advantage* online database.

See the libraries' database page: <<http://www2.una.edu/library/databases.htm>>

INDEXES AND ABSTRACTS that will help locate PERIODICAL ARTICLES and other papers in **FINANCE AND INVESTMENT** are:

[ABI-Inform Complete](#) (online database)  
[Business Source Premier](#) (online database)  
[Emerald Intelligence + Fulltext](#) (online database)  
[General Business File ASAP](#) (online database)  
[Lexis-Nexis Academic Universe](#) (online database)

A few frequently used PERIODICALS in **FINANCE AND INVESTMENT** are:

**Barron's**  
**Business Week**  
**Economist**  
**Financial Management**  
**Financial Services Review**  
**International Review of Economics & Finance**  
**Journal of Accounting, Auditing and Finance**  
**Journal of Banking and Finance**  
**Journal of Economics and Finance**  
**Journal of Finance**  
**Journal of Financial and Quantitative Analysis**  
**Journal of Financial Research**  
**Journal of Financial Service Professionals**  
**Journal of Portfolio Management**  
**Kiplinger's Personal Finance**  
**Money**  
**New York Times**  
**Review of Financial Studies**  
**Strategic Finance**  
**Wall Street Journal**

PERIODICALS to which the library has print, microform, or electronic access can be identified in the Periodicals Holdings List <<http://www2.una.edu/agordon/journal.asp>>

INTERNET – For subject listings of Internet resources, see the Collier Library Web Page at:  
<<http://www2.una.edu/library/index.html>>

-Internet Resources Collection <<http://www2.una.edu/library/vref.htm>>

-Finance and Investment <<http://www2.una.edu/library/sa02/finan.htm>>

INTERLIBRARY LOAN - It is possible to borrow books or obtain copies of periodical articles from other libraries if the material is unavailable locally. The material can be requested online by filling in the appropriate forms at: <<http://www2.una.edu/library/illindex.htm>>.

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